

High-level Internal Audit Plan 2020/21

Appendix 2

Department	Auditable Area	Audit Days	Priority
Barbican Centre	Health and Safety	15	1
Community and Children's Services	Safeguarding (schools)	25	1
Community and Children's Services	Lone Working	7	1
Town Clerks	Community Safety and Resilience	12	1
Corporate Wide	Infrastructure/Physical Security	15	1
Corporate Wide	Climate Action/Air Quality	15	1
Community and Children's Services	Joint Health and Wellbeing	12	1
Community and Children's Services	Housing Tenancies	10	1
Built Environment	Gigabit City	12	1
Open Spaces Department	Wanstead Flats - Reservoir Management (risk mitigation)	3	1
Community and Children's Services	Housing Fire Safety	12	1
Community and Children's Services	Rough Sleepers	7	1
Community and Children's Services	Unregulated Placements - Children	12	1
Corporate HR	Gender/Equalities Pay Gap Reporting	7	1
Corporate Wide	Major Projects Governance Arrangements	15	1
Town Clerks	Innovation and Growth - Partnerships and Engagement	15	1
Markets and Consumer Protection	Brexit Implications	15	1
Chamberlain's IT	Business Continuity and Disaster Recovery	10	1
Town Clerks	Corporate wide - Emergency Planning	20	1
Chamberlain's IT	Cyber Security	20	1
City of London Police	Grant Funding	12	1
City of London Police	Key Financial Controls	20	1
City of London Police	Transform	15	1
Police Authority	Value for Money Scrutiny and Oversight	35	1
Chamberlain's IT	Information Management	20	1
Chamberlain's	Fundamental Review	25	1
Chamberlain's	Accounts Receivable and Debt Management	15	1
Chamberlain's	Development of a Corporate Finance Strategy - Debt Financing	12	1
Chamberlain's	Corporate Contract Management	15	1
Chamberlain's	Payroll	8	1
Chamberlain's	Treasury Management	10	1

High-level Internal Audit Plan 2020/21

Appendix 2

Department	Auditable Area	Audit Days	Priority
City of London Police	Procurement Cards	10	1
City of London Police	Digital Policing	10	1
City of London Police	Transform Phase 2	10	1
City Surveyor's	Rents, Lettings and Vacancies	15	1
City Surveyor's	Project Resourcing	12	1
City Surveyor's	Operational Property Management	15	1
Corporate Wide	Performance Management/Delivery of Business Plan Objectives (time allocation TBC)	15	1
Corporate Wide	Safer City Partnership	15	1
Town Clerks	City Bridge Trust - Grants Administration and Compliance with Strategy	15	1
Town Clerks	Corporate Recruitment	15	2
Community and Children's Services	Social Care Contract Monitoring	7	2
Barbican Centre	Ticketing System	12	2
Barbican Centre	Bars	7	2
Built Environment	Waste Collection Income and Expenditure	8	2
Built Environment	Planning Income and Expenditure	12	2
Built Environment	Building Control Income and Expenditure	10	2
Chamberlain's	Procurement Category Management - Governance and Effectiveness	7	2
Chamberlain's	Supplier Resilience	12	2
Chamberlain's IT	Data Storage	15	2
Chamberlain's IT	Legacy Systems	10	2
City of London Freeman's School	Financial Sustainability	8	2
City of London Police	Management of Service Contracts	12	2
City of London Police	Defendants Funds/Ceased Goods	7	2
City of London School	Financial Sustainability	7	2
City of London School for Girls	Financial Sustainability	7	2
Community and Children's Services	Housing Rents	10	2
Corporate Wide	Recruitment Moratorium	5	2
Corporate Wide	P-Cards	25	2
Guildhall School of Music and Drama	Governance	12	2
Guildhall School of Music and Drama	Diversifying Funding / Fundraising	12	2
Guildhall School of Music and Drama	Data Futures	12	2

High-level Internal Audit Plan 2020/21

Appendix 2

Department	Auditable Area	Audit Days	Priority
Guildhall School of Music and Drama	Guildhall Young Artists	12	2
Guildhall School of Music and Drama	Data Quality	10	2
Markets and Consumer Protection	Fee Recovery - All sites	10	2
Markets and Consumer Protection	Markets - Medium Term Financial Strategy	10	2
Open Spaces Department	Entity Review	15	2
Open Spaces Department	Department Wide - Wayleaves	12	2
Open Spaces Department	Tower Bridge - Exhibition Income	12	2
Barbican Centre	Off-Balance Sheet Assets	8	3
Community and Children's Services	Barbican Estate Rents	10	3
Comptroller and City Solicitor	Direct Charging for Legal Services	10	3
Mansion House and Central Criminal Court	Premises Related Expenditure	10	3
Markets and Consumer Protection	Contract Management	7	3
Markets and Consumer Protection	Safe Disposal of ceased/condemned goods/food	10	3
Open Spaces Department	Department Wide - Commercial Leases	8	3
Remembrancers	Supplies and Services	8	3
Town Clerks	Social Media	8	3
Town Clerks	Guildhall Art Gallery - Income and Expenditure	12	3
Chamberlain's	Chamberlain's Court Income	8	4
Community and Children's Services	Libraries - Entity Review	10	4
Mansion House and Central Criminal Court	Roger Gifford Trust Fund (Conservation of Art Collection)	5	4
Open Spaces Department	Department Wide - Lodges	12	4
Town Clerks	Guildhall Club Accounts	5	n/a
Corporate Wide	Audit Follow-up Reviews	75	n/a